



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

June 17, 2011

SUSAN A. TILLEY, TREASURER
KATHY HOCHUL FOR CONGRESS
4521 COPPERFIELD DR
HAMBURG, NY 14075

Response Due Date

07/22/2011

IDENTIFICATION NUMBER: C00494013

REFERENCE: 12 DAY PRE-SPECIAL REPORT (04/01/2011 - 05/04/2011)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 1 item(s):

- Schedule A of your report discloses one or more contributions from an organization(s), which is not a political committee registered with the Commission (see attached). In order for your committee to accept contributions from unregistered organizations, your committee should take steps to ensure that the contributor(s) used permissible funds to make the contribution(s) to avoid violating 2 U.S.C. §§441a(f) and 441b or 11 CFR §102.5(b). Under 11 CFR §102.5(b), organizations which are not political committees under the Act and choose to contribute to federal committees must either: (1) establish a separate account which contains only those funds permitted under the Act, or (2) demonstrate through a reasonable accounting method that the organization has received sufficient funds subject to the limitations and prohibitions in order to make the contribution.

If any apparently prohibited contribution in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information. In addition, please clarify whether the contribution(s) received from the referenced organization(s) is permissible.

If you have received prohibited contributions, you must make a refund. (11 CFR § 103.3(b)(1)) The refund must be made within 30 days of the treasurer becoming aware of the illegality of the contribution. (11 CFR § 103.3(b)(2))

Please inform the Commission of your corrective action immediately and

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provide a photocopy of any refund checks. Refunds must be reported on a Schedule B supporting Line 20(b) of the report covering the period in which the refund was made. (11 CFR § 104.8(d)(4))

Although the Commission may take further legal action concerning the acceptance of prohibited contributions, your prompt action to refund the prohibited amount will be taken into consideration.

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended.

If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1154.

Sincerely,

A handwritten signature in black ink that reads "Jill Sugarman". The signature is written in a cursive, flowing style.

Jill Sugarman
Sr. Campaign Finance & Reviewing Analyst
Reports Analysis Division

Excessive and/or Prohibited Contributions
Kathy Hochul for Congress (C00494013)

Contributor Name	Date	Amount	Election
<i>The following committees are not registered with the Federal Election Commission:</i>			
Brown for Buffalo	4/13/11	\$1,000.00	SG2011
Citizens for Sam Hoyt	4/11/11	\$500.00	SG2011
Committee for Change	4/11/11	\$250.00	SG2011
Committee to Re-Elect Lisa Chimera	4/18/11	\$150.00	SG2011
East Buffalo Democratic Club	4/18/11	\$100.00	SG2011
Friends of Anthony M. Masiello	4/11/11	\$500.00	SG2011
Friends of Charles C. Markel	4/29/11	\$200.00	SG2011
Friends of Crystal Peoples	4/18/2011	\$500.00	SG2011
Friends of David Franczyk	4/18/11	\$250.00	SG2011
Friends of David Shenk	4/14/2011	\$99.00	SG2011
Friends of Harriet Cornell	5/2/11	\$150.00	SG2011
Friends of Judge Sharon M. Lovallo	4/11/11	\$250.00	SG2011
Friends of Judge Sharon M. Lovallo	4/26/11	\$50.00	SG2011
Friends of Lynn Marinelli	4/26/11	\$200.00	SG2011
Friends of Mark Schroeder	4/11/11	\$1,000.00	SG2011
Friends of Paul Tokasz	4/28/11	\$1,500.00	SG2011
Friends to Elect Michele Iannello	4/11/11	\$150.00	SG2011

Excessive and/or Prohibited Contributions
Kathy Hochul for Congress (C00494013)

Contributor Name	Date	Amount	Election
Good Government Club	4/21/11	\$250.00	SG2011
Livingston County Democratic Committee	4/11/11	\$1,000.00	SG2011
Maria 4 Erie County	4/8/11	\$100.00	SG2011
Nemoyer for Justice	4/20/11	\$500.00	SG2011
Pendleton Democratic Club	5/3/11	\$100.00	SG2011